

## 01006 MATH 1. SOLUTION TO HOMEWORK 4

### Problem 3 (Essay Question).

We consider  $\mathbf{a}_1 = (0, 1, 2, 2, 0)$ ,  $\mathbf{a}_2 = (1, 1, 4, 0, 0)$ ,  $\mathbf{a}_3 = (1, 2, 6, 2, 1)$ ,  $\mathbf{a}_4 = (-1, 2, 2, 6, -1)$ ,  $\mathbf{a}_5 = (2, -2, 0, 1, 0)$ ,  $\mathbf{a}_6 = (-2, -2, 1, 0, 0)$ ,

- (a) To show that  $U := \text{Span}\{\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3\}$  has dimension 3, it's enough to show that the three vectors are linearly independent, since the dimension is the number of elements in a linearly independent spanning set (i.e. a basis). The dimension of the span is the rank of the matrix whose rows or columns consists of these vectors. Using Maple, we find the reduced row echelon form:

$$RREF([\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3]) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

which has three pivots, so this matrix has rank 3.

To write  $\mathbf{a}_4$  as a linear combination, we solve:  $c_1\mathbf{a}_1 + c_2\mathbf{a}_2 + c_3\mathbf{a}_3 = \mathbf{a}_4$  via row operations on the augmented matrix:

$$RREF([\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3, \mathbf{a}_4]) = \left[ \begin{array}{ccc|c} 1 & 0 & 0 & 4 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & -1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{array} \right],$$

the solution is  $(c_1, c_2, c_3) = (4, 0, -1)$ , so  $\mathbf{a}_4 = 4\mathbf{a}_1 - \mathbf{a}_3$ .

- (b) We have  $\mathbf{a}_1 \cdot (\mathbf{a}_2 - \mathbf{a}_1) = 9 - 9 = 0$ , so these two vectors are already orthogonal. Since they span the same space as  $\mathbf{a}_1$  and  $\mathbf{a}_2$ , the three vectors

$$\mathbf{u}_1 = \mathbf{a}_1, \quad \mathbf{u}_2 = \mathbf{a}_2 - \mathbf{a}_1, \quad \mathbf{u}_3 = \mathbf{a}_3,$$

are still a basis for  $U$ , and we can apply Gram-Schmidt to them to get an orthonormal basis, with  $\mathbf{q}_1$  proportional to  $\mathbf{a}_1$  and  $\mathbf{q}_2$  proportional to  $\mathbf{a}_2 - \mathbf{a}_1$ .

Since the first two vectors are already orthogonal, we only need to normalize them:

$$\mathbf{q}_1 = \frac{\mathbf{u}_1}{|\mathbf{u}_1|} = \frac{1}{3}(0, 1, 2, 2, 0), \quad \mathbf{q}_2 = \frac{\mathbf{u}_2}{|\mathbf{u}_2|} = \frac{1}{3}(1, 0, 2, -2, 0),$$

then finally:

$$\begin{aligned} \mathbf{w}_3 &= \mathbf{u}_3 - (\mathbf{u}_3 \cdot \mathbf{q}_1)\mathbf{q}_1 - (\mathbf{u}_3 \cdot \mathbf{q}_2)\mathbf{q}_2 \\ &= (0, 0, 0, 0, 1), \end{aligned}$$

and:

$$\mathbf{q}_3 = \frac{\mathbf{w}_3}{|\mathbf{w}_3|} = (0, 0, 0, 0, 1).$$

As linear combinations of  $\mathbf{a}_1$ ,  $\mathbf{a}_2$  and  $\mathbf{a}_3$ , we have:

$$\mathbf{q}_1 = \frac{1}{3}\mathbf{a}_1, \quad \mathbf{q}_2 = \frac{1}{3}(\mathbf{a}_2 - \mathbf{a}_1)$$

and to find the coefficients of  $\mathbf{q}_3$  we can solve the equation:  $c_1\mathbf{a}_1 + c_2\mathbf{a}_2 + c_3\mathbf{a}_3 = \mathbf{q}_3$ , either by row reducing the matrix  $[\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3|\mathbf{q}_3]$ , or using Maples LinearSolve, to get

$$\mathbf{q}_3 = \mathbf{a}_3 - \mathbf{a}_1 - \mathbf{a}_2.$$

- (c) The dot products of  $\mathbf{a}_5$  and  $\mathbf{a}_6$  with any of  $\mathbf{q}_1, \mathbf{q}_2, \mathbf{q}_3$  is zero, and so will it be with any linear combination of these vectors, so  $\mathbf{a}_5, \mathbf{a}_6 \in U^\perp$ .

The vectors  $(\mathbf{q}_1, \mathbf{q}_2, \mathbf{q}_3, \mathbf{a}_5, \mathbf{a}_6)$  are all orthogonal to each other (since  $\mathbf{a}_5 \cdot \mathbf{a}_6 = 0$  too). If we just divide the last two by their lengths, then they are an orthonormal set of 5 vectors, hence an orthonormal basis. So we set

$$\mathbf{q}_4 = \frac{\mathbf{a}_5}{|\mathbf{a}_5|} = \frac{1}{3}(2, -2, 0, 1, 0) \quad \mathbf{q}_5 = \frac{\mathbf{a}_6}{|\mathbf{a}_6|} = \frac{1}{3}(-2, -2, 1, 0, 0)$$

- (d) To find the matrix of  $f$ , we need to find what it does to each of the basis vectors. Since  $\mathbf{q}_1$  and  $\mathbf{q}_2$  are respectively proportional to  $\mathbf{a}_1$  and  $\mathbf{a}_2 - \mathbf{a}_1$ , the eigenvalue information says:

$$f(\mathbf{q}_1) = \mathbf{q}_1, \quad f(\mathbf{q}_2) = -\mathbf{q}_2.$$

We have  $U^\perp = \text{Span}\{\mathbf{q}_4, \mathbf{q}_5\}$ , so if this is the kernel of  $f$  we have:

$$f(\mathbf{q}_4) = f(\mathbf{q}_5) = \mathbf{0}.$$

Finally, we showed that  $\mathbf{q}_3 = \mathbf{a}_3 - \mathbf{a}_1 - \mathbf{a}_2$ , so:

$$\begin{aligned} f(\mathbf{q}_3) &= f(\mathbf{a}_3 - \mathbf{a}_1 - \mathbf{a}_2) = f(\mathbf{a}_3) - f(\mathbf{a}_1) - f(\mathbf{a}_2) \\ &= \mathbf{a}_4 - 2f(\mathbf{a}_1) - f(\mathbf{a}_2 - \mathbf{a}_1) \\ &= (4\mathbf{a}_1 - \mathbf{a}_3) - 2\mathbf{a}_1 + (\mathbf{a}_2 - \mathbf{a}_1) \\ &= \mathbf{a}_1 + \mathbf{a}_2 - \mathbf{a}_3 \\ &= -\mathbf{q}_3. \end{aligned}$$

Hence the mapping matrix is:

$${}_q f_q = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}.$$

If we restrict  $f$  to  $U = \text{Span}\{\mathbf{q}_1, \mathbf{q}_2, \mathbf{q}_3\}$ , we get the matrix:

$$P = \begin{bmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{bmatrix}$$

which is an orthogonal matrix and therefore preserves the scalar product (hence preserves lengths and angles of vectors). However, on the whole of  $\mathbb{R}^4$ ,  $f$  does not preserve lengths and angles: for instance  $f(\mathbf{q}_4) = \mathbf{0}$ , which does not have the same length as  $\mathbf{q}_4$ .

- (e) The change of basis matrix is:

$${}_e M_q = [\mathbf{q}_1, \dots, \mathbf{q}_5] = \begin{bmatrix} 0 & 1/3 & 0 & 2/3 & -2/3 \\ 1/3 & 0 & 0 & -2/3 & -2/3 \\ 2/3 & 2/3 & 0 & 0 & 1/3 \\ 2/3 & -2/3 & 0 & 1/3 & 0 \\ 0 & 0 & 1 & 0 & 0 \end{bmatrix}.$$

Writing  ${}_e M_e = ({}_e M_q)^T$ , the mapping matrix for  $f$  in the standard basis is:

$${}_e f_e = {}_e M_q {}_q f_q {}_e M_e = \frac{1}{9} \begin{bmatrix} -1 & 0 & -2 & 2 & 0 \\ 0 & 1 & 2 & 2 & 0 \\ -2 & 2 & 0 & 8 & 0 \\ 2 & 2 & 8 & 0 & 0 \\ 0 & 0 & 0 & 0 & -9 \end{bmatrix}.$$

This matrix is symmetric, since it is equal to its transpose.