

## Written 2-hours exam, December 2018, Problem 3

In  $\mathbb{R}^3$  equipped with the ordinary dot product we consider the set of vectors

$$v = (\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3) = ((1, 1, 1), (1, 0, -1), (-1, 1, 0)).$$

1.

We wish to explain that  $v$  is a basis for  $\mathbb{R}^3$ . A basis for  $\mathbb{R}^3$  needs 3 linearly independent vectors. Since  $v$  consists of 3 vectors, we check whether they are linearly independent. The vectors are stated as columns in a  $3 \times 3$ -matrix  $V$ :

$$V = (\mathbf{v}_1 \ \mathbf{v}_2 \ \mathbf{v}_3) = \begin{pmatrix} 1 & 1 & -1 \\ 1 & 0 & 1 \\ 1 & -1 & 0 \end{pmatrix}.$$

The determinant of  $V$  are determined using Maple (see Appendix 1, Eq. (1.2)) as

$$\det(V) = 3.$$

Since the determinant is different from 0,  $V$  has full rank, and thus it is shown that  $\mathbf{v}_1$ ,  $\mathbf{v}_2$  and  $\mathbf{v}_3$  are linearly independent. Therefore  $v$  constitutes a basis for  $\mathbb{R}^3$ .

Now we consider the linear transformation  $f : \mathbb{R}^3 \rightarrow \mathbb{R}^3$  defined by

$$\begin{aligned} f(\mathbf{u}) &= 5\mathbf{u}, \quad \mathbf{u} \in \text{span}\{\mathbf{v}_1\}, \\ f(\mathbf{u}) &= -4\mathbf{u}, \quad \mathbf{u} \in \text{span}\{\mathbf{v}_2, \mathbf{v}_3\}. \end{aligned}$$

2.

We are interested in determining the transformation matrix  ${}_vF_v$  for  $f$  with respect to basis  $v$ . From the definition of  $f$  it is seen that 5 and  $-4$  are eigenvalues for  $f$  with corresponding eigenvector spaces  $E_5 = \text{span}\{\mathbf{v}_1\}$  og  $E_{-4} = \text{span}\{\mathbf{v}_2, \mathbf{v}_3\}$ . Since we have already shown that  $\mathbf{v}_2$  and  $\mathbf{v}_3$  are linearly independent,  $v$  is an eigenbasis for  $f$ . Thus the transformation matrix  ${}_vF_v$  is a diagonal matrix with the eigenvalues in the diagonal:

$${}_vF_v = ({}_vf(\mathbf{v}_1) \quad {}vf(\mathbf{v}_2) \quad {}vf(\mathbf{v}_3)) = \begin{pmatrix} 5 & 0 & 0 \\ 0 & -4 & 0 \\ 0 & 0 & -4 \end{pmatrix}.$$

Also we wish to be able to change to standard coordinates and therefore we will state the transformation matrix  ${}_eF_e$  for  $f$  with respect to the standard basis. In order to change coordinates we shall use a change of base matrix. We already know the change of base matrix  ${}_eM_v$ , that shifts from  $e$ - to  $v$ -coordinates. This is exactly the matrix  $V$ , where the vectors from  $v$  is stated with respect to the standard basis  $e$ :

$${}_eM_v = V = \begin{pmatrix} 1 & 1 & -1 \\ 1 & 0 & 1 \\ 1 & -1 & 0 \end{pmatrix}.$$

Now the change of base matrix  ${}_vM_e$  that shifts from  $v$ - to  $e$ -coordinates can be determined as the inverse of  ${}_eM_v$ . This is done using **Maple** (see Appendix 1, Eq. (1.5)):

$${}_vM_e = ({}_eM_v)^{-1} = \frac{1}{3} \cdot \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & -2 \\ -1 & 2 & -1 \end{pmatrix}.$$

The transformation matrix  ${}_eF_e$  can now be determined as the matrix product  ${}_eM_v \cdot {}_vF_v \cdot {}_vM_e$ . The matrix product is determined using **Maple** (see Appendix 1, Eq. (1.6)) and the transformation matrix can be written as:

$${}_eF_e = {}_eM_v \cdot {}_vF_v \cdot {}_vM_e = \begin{pmatrix} -1 & 3 & 3 \\ 3 & -1 & 3 \\ 3 & 3 & -1 \end{pmatrix}$$

We notice that  ${}_eF_e$  is a symmetric matrix, that can be diagonalized using an eigenbasis as e.g.  $v$ .

### 3.

We wish to determine an orthonormal basis  $q$  for  $\mathbb{R}^3$ , consisting of eigenvectors  $r$  for  $f$ , where one vector in  $q$  is aligned with  $\mathbf{v}_3$ . We already know the eigenbasis  $v$ . Since  ${}_eF_e$  is symmetric, we also know that the eigen-spaces  $E_5$  and  $E_{-4}$  are orthogonal. Therefore we shall find an orthonormal basis for  $E_5$  and  $E_{-4}$  separately in order to put together an orthonormal basis for  $\mathbb{R}^3$  consisting of eigenvektorer for  $f$ . First we normalize  $\mathbf{v}_1$  and thus a new vector  $\mathbf{q}_1$  is formed:

$$\mathbf{q}_1 = \frac{\mathbf{v}_1}{\|\mathbf{v}_1\|} = \frac{\sqrt{3}}{3}(1, 1, 1).$$

An orthonormal basis for  $E_5$  now consists of  $\mathbf{q}_1$ .

Now we shall find an orthonormal basis for  $E_{-4}$ , where one vector is aligned with  $\mathbf{v}_3$ . Therefore we first normalize  $\mathbf{v}_3$  to get the vector  $\mathbf{q}_3$ :

$$\mathbf{q}_3 = \frac{\mathbf{v}_3}{\|\mathbf{v}_3\|} = \frac{\sqrt{2}}{2}(-1, 1, 0).$$

Finally we form  $\mathbf{q}_2$  as the cross product between  $\mathbf{q}_1$  and  $\mathbf{q}_3$  (see Appendix 1, Eq. (1.9)):

$$\mathbf{q}_2 = \mathbf{q}_1 \times \mathbf{q}_3 = \frac{\sqrt{6}}{6}(-1, -1, 2).$$

An orthonormal basis for  $E_{-4}$  is now  $(\mathbf{q}_2, \mathbf{q}_3)$ , where  $\mathbf{q}_3$  is aligned with  $\mathbf{v}_3$ . Therefore the wished for orthonormal basis  $q$  for  $\mathbb{R}^3$  is  $q = (\mathbf{q}_1, \mathbf{q}_2, \mathbf{q}_3)$ .

## Appendix 1

```
> restart; with(LinearAlgebra):
```

The set of vectors is defined:

```
> v1:=<1,1,1>;  
v2:=<1,0,-1>;  
v3:=<-1,1,0>;
```

1)

The three vectors form columns in the matrix  $V$ :

```
> V:=<v1|v2|v3>;
```

$$V := \begin{bmatrix} 1 & 1 & -1 \\ 1 & 0 & 1 \\ 1 & -1 & 0 \end{bmatrix} \quad (1.1)$$

The determinant of  $V$  is determined:

```
> Determinant(V);
```

$$3 \quad (1.2)$$

2)

The transformation matrix  ${}_vF_v$  is a diagonal matrix with eigenvalues in the diagonal:

```
> vFv:=DiagonalMatrix(<5,-4,-4>);
```

$${}_vF_v := \begin{bmatrix} 5 & 0 & 0 \\ 0 & -4 & 0 \\ 0 & 0 & -4 \end{bmatrix} \quad (1.3)$$

The change of basis matrices  ${}_eM_v$  and  ${}_vM_e$  are stated:

```
> eMv:=V;
```

$${}_eM_v := \begin{bmatrix} 1 & 1 & -1 \\ 1 & 0 & 1 \\ 1 & -1 & 0 \end{bmatrix} \quad (1.4)$$

```
> vMe:=eMv^(-1);
```

$${}_vM_e := \begin{bmatrix} \frac{1}{3} & \frac{1}{3} & \frac{1}{3} \\ \frac{1}{3} & \frac{1}{3} & -\frac{2}{3} \\ -\frac{1}{3} & \frac{2}{3} & -\frac{1}{3} \end{bmatrix} \quad (1.5)$$

The transformation matrix  ${}_eF_e$  is found by a change of base:

```
> eFe:=eMv.vFv.vMe;
```

$$(1.6)$$

$$eFe := \begin{bmatrix} -1 & 3 & 3 \\ 3 & -1 & 3 \\ 3 & 3 & -1 \end{bmatrix} \quad (1.6)$$

3)

$v_1$  is normalized:

> **q1:=v1/norm(v1,2);**

$$q1 := \begin{bmatrix} \frac{\sqrt{3}}{3} \\ \frac{\sqrt{3}}{3} \\ \frac{\sqrt{3}}{3} \end{bmatrix} \quad (1.7)$$

$v_3$  is normalized:

> **q3:=v3/norm(v3,2);**

$$q3 := \begin{bmatrix} -\frac{\sqrt{2}}{2} \\ \frac{\sqrt{2}}{2} \\ 0 \end{bmatrix} \quad (1.8)$$

$q_2$  is determined as the cross product between  $q_1$  and  $q_3$ :

> **q2:=CrossProduct(q1,q3);**

$$q2 := \begin{bmatrix} -\frac{\sqrt{3}\sqrt{2}}{6} \\ -\frac{\sqrt{3}\sqrt{2}}{6} \\ \frac{\sqrt{3}\sqrt{2}}{3} \end{bmatrix} \quad (1.9)$$